

PATENT



ATTORNEY DOCKET NO. UCDA.004.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mikal E. Saltveit *et al.* ) Examiner: Not Yet Assigned  
)  
Serial No.: 09/964,992 ) Art Unit: 1645  
Confirmation No.: 2976 )  
Filed: September 26, 2001 ) **RESPONSE TO NOTICE TO FILE**  
) **MISSING PARTS**  
Title: **Characterization of Phenylalanine** )  
          **Ammonia-lyase (PAL) Gene in Wounded** )  
          **Lettuce Tissue** )

**BOX MISSING PARTS**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith along with the appropriate fee(s) are:

- [X] An executed Combined Inventor Declaration and Power of Attorney (with signatures of three inventors on one sheet and one signature on another sheet).
- [X] Surcharge
- |                                                        |                |
|--------------------------------------------------------|----------------|
| <input type="checkbox"/> For a large entity            | \$130.00       |
| <input checked="" type="checkbox"/> For a small entity | \$65.00        |
| <b>Total Surcharge</b>                                 | <b>\$65.00</b> |
- [X] Additional claim fees: \$307.00
- [X] Basic Filing Fee \$355.00

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date Jan 24, 2002

Signed [Signature]

Printed Robert L. Garrison

☒ Petition for Extension of Time

<u>Extension of Time Fee</u>	<u>Small Entity</u>	<u>Large Entity</u>
<input checked="" type="checkbox"/> One Month	\$ 55	\$ 110
<input type="checkbox"/> Two Months	\$195	\$ 390
<input type="checkbox"/> Three Months	\$445	\$ 890
<input type="checkbox"/> Four Months	\$695	\$1390
<input type="checkbox"/> Five Months	\$945	\$1890

**Extension of Time Fee** **\$55.00**  
**Total Other Fees:** **\$727.00**

☒ Executed Assignments(s) for recordation and the recording fee of \$40.00 per assignment. **\$40.00**

☒ Assignment Recordation Cover Sheet

☐ An executed Verified Statement Claiming Small Entity Status.

☐ Applicant requests that a refund of one-half of the filing fee be credited to Deposit Account No. 18-0020 as the enclosed Verified Statement Claiming Small Entity Status is being filed within two months of the payment of the filing fee.

☒ Copy of Notice to File Missing Parts of Nonprovisional Application

**Total Fees:** **\$822.00**

☒ A check including the amount of the above-indicated TOTAL FEES is attached.

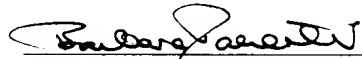
☐ Please charge Deposit Account No. 18-0020 in the amount of \$.

☐ No fee is required.

☒ Conditional Petition for Extension of Time: An extension of time is requested in the present and/or the above-referenced parent application to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

- [X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- [X] Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- [X] Any parent application processing fees under 37 CFR 1.17.
- [X] A **duplicate** copy of this sheet is attached for accounting purposes.

Dated: January 24, 2002

  
Barbara Rae Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306  
Telephone: (650) 328-4400  
Facsimile: (650) 328-4477

BRV/dhw



## UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 12 2002

1048

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,992	09/26/2001	Mikal E. Saltveit	UCDA.004.01US

CONFIRMATION NO. 2976

## FORMALITIES LETTER



\*OC000000006970619\*

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039

RECEIVED

NOV 1 2001

Date Mailed: 10/25/2001

RAE-VENTER LAW GROUP, P.C.

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$307.
  - \$27 for 3 total claims over 20.
  - \$280 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 727.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE